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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 1076-US

|                       |               |
|-----------------------|---------------|
| <i>First Inventor</i> | STUPP, GIDEON |
|-----------------------|---------------|

|              |  |
|--------------|--|
| <b>Title</b> | <b>METHOD FOR CORRELATING BEHAVIOR BETWEEN TWO ELEMENTS OF A SYSTEM TO DETERMINE THE PRESENCE OF MUTUAL INTERACTION BETWEEN THE ELEMENTS</b> |
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*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

*See MPEP chapter 600 concerning utility patent application contents.*

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets 4 ]
5. Oath or Declaration [ Total Pages 3 ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i ☐ CD-ROM or CD-R (2 copies); or
- ii ☐ paper
- c. ☐ Statements verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*  
 11. ☐ English Translation Document *(if applicable)*  
 12. ☐ Information Disclosure ☐ Copies of IDS  
 13. ☐ Statement (IDS)/PTO-1449 ☐ Citations  
 14. ☐ Preliminary Amendment  
 15. ☐ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*  
 16. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*  
 17. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 18. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

**Prior application information:**

**Examiner**

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ **Customer Number or Bar Code Label**

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or ☐ Correspondence address below

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Name (Print/Type) Daniel J. Swirsky

Registration No. (Attorney/Agent) 45,148

**Signature**

*Date* MARCH 4, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are *subject to annual revision*.

TOTAL AMOUNT OF PAYMENT (\$ ) 412.00

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   |               |
| Filing Date          | MARCH 4, 2002 |
| First Named Inventor | STUPP, GIDEON |
| Examiner Name        |               |
| Group Art Unit       |               |
| Attorney Docket No.  | 1076-US       |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 501380
- Deposit Account Name ALPHAPATENT ASSOCIATES LTD.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity    | Small Entity | Fee Description        | Fee Paid |
|-----------------|--------------|------------------------|----------|
| Code (\$)       | Code (\$)    |                        |          |
| 101 740 201 370 |              | Utility filing fee     | 370.00   |
| 106 330 206 165 |              | Design filing fee      |          |
| 107 510 207 255 |              | Plant filing fee       |          |
| 108 740 208 370 |              | Reissue filing fee     |          |
| 114 160 214 80  |              | Provisional filing fee |          |

SUBTOTAL (1) (\$ ) 370.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims      | Fee from below | Fee Paid |
|--------------------|-------------------|----------------|----------|
| Independent Claims | -20- = 4 - 3- = 1 | 42             | 42       |
| Multiple Dependent |                   |                |          |

| Large Entity    | Small Entity | Fee Description  |
|-----------------|--------------|--|
| Code (\$)       | Code (\$)    |  |
| 103 18 203 9    |              | Claims in excess of 20                                     |
| 102 84 202 42   |              | Independent claims in excess of 3                          |
| 104 280 204 140 |              | Multiple dependent claim, if not paid                      |
| 109 84 209 42   |              | ** Reissue independent claims over original patent         |
| 110 18 210 9    |              | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 42.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity          | Small Entity | Fee Description  | Fee Paid |
|-----------------------|--------------|--|----------|
| Code (\$)             | Code (\$)    |  |          |
| 105 130 205 65        |              | Surcharge - late filing fee or oath  |          |
| 127 50 227 25         |              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130 139 130       |              | Non-English specification  |          |
| 147 2,520 147 2,520   |              | For filing a request for ex parte reexamination                            |          |
| 112 920* 112 920*     |              | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840* 113 1,840* |              | Requesting publication of SIR after Examiner action                        |          |
| 115 110 215 55        |              | Extension for reply within first month                                     |          |
| 116 400 216 200       |              | Extension for reply within second month                                    |          |
| 117 920 217 460       |              | Extension for reply within third month                                     |          |
| 118 1,440 218 720     |              | Extension for reply within fourth month                                    |          |
| 128 1,960 228 980     |              | Extension for reply within fifth month                                     |          |
| 119 320 219 160       |              | Notice of Appeal   |          |
| 120 320 220 160       |              | Filing a brief in support of an appeal                                     |          |
| 121 280 221 140       |              | Request for oral hearing   |          |
| 138 1,510 138 1,510   |              | Petition to institute a public use proceeding                              |          |
| 140 110 240 55        |              | Petition to revive - unavoidable   |          |
| 141 1,280 241 640     |              | Petition to revive - unintentional   |          |
| 142 1,280 242 640     |              | Utility issue fee (or reissue)   |          |
| 143 460 243 230       |              | Design issue fee   |          |
| 144 620 244 310       |              | Plant issue fee  |          |
| 122 130 122 130       |              | Petitions to the Commissioner  |          |
| 123 50 123 50         |              | Processing fee under 37 CFR 1.1 7(q)                                       |          |
| 126 180 126 180       |              | Submission of Information Disclosure Stmt                                  |          |
| 581 40 581 40         |              | Recording each patent assignment per property (times number of properties) |          |
| 146 740 246 370       |              | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740 249 370       |              | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740 279 370       |              | Request for Continued Examination (RCE)                                    |          |
| 169 900 169 900       |              | Request for expedited examination of a design application                  |          |
| Other fee (specify)   |              |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

|                   |   |                                   |               |           |                   |
|-------------------|---|-----------------------------------|---------------|-----------|-------------------|
| Name (Print/Type) | DANIEL J. SWIRSKY   | Registration No. (Attorney/Agent) | 45,148        | Telephone | 011-9722-999-1035 |
| Signature         |  | Date                              | MARCH 4, 2002 |           |                   |

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